N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Dang M. Ngo, et al.

Serial No: Unassigned

Filed:

May, 03, 2001

For:

SAMPLE PRESENTATION UNIT

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

I, the person signing below, state:

Commissioner for Patents Washington, D.C. 20231

Dear Sirs:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents
Washington D.C. 20231, on

May 29 2001

Date of Deposit

Wei-Ning Yang, Reg. No. 38,690

Name

5/29/01

Signature

Date

Art Unit: Unassigned

Examiner: Unassigned

- 1. The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
- 2. The information disclosure statement transmitted herewith is being filed after the period specified in §1.97(b), but before the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

§1.97(e) STATEMENT

that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

OR FEE

| | | Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). |
|----|-------------|--|
| 3. | | The information disclosure statement transmitted herewith is being filed <i>after</i> the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d). |
| | | §1.97(e) STATEMENT |
| | | I, the person signing below, state: |
| | | that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1). |
| | | OR |
| | | that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2). |
| | | AND FEE |
| | | Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00). |
| 4. | \boxtimes | If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. |
| 5. | \boxtimes | A list of <u>15</u> reference(s) is in the enclosed Form PTO-1449. |
| | | NON-ENGLISH LANGUAGE REFERENCES |
| | | Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report. |
| | | The specification incorporates comments on the relevancy of Non-English language |

PATENT 2008-174D (81841.0139)

Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

By:

Respectfully submitted,

Wei-Ning Yang

Registration No. 38,690 Attorney for Applicant(s)

HOGAN & HARTSON L.L.P.

Date: May 29, 2001

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